

ARHAM

This page contains important information regarding the terms and conditions which apply to your trading and demat account with Arham Share consultants Pvt Ltd., Arham commodities Brokers Pvt Ltd. (hereinafter referred to as 'ARHAM') ARHAM, being a Trading Member of NSE and BSE, registered with Securities & Exchange Board of India ("SEBI") and having Registration No. INZ000175534 for Cash/Derivatives/Currency Derivatives segments of NSE & BSE. Commodity trading on your account will be enabled through Arham commodities Brokers Pvt Ltd., a member of MCX Registration No. 55480) and having SEBI Registration Number INZ000085333. The Depository services for your account will be enabled through Arham Share consultants Pvt Ltd. a Depository Participant using CDSL as the Depository having SEBI Registration no.: IN-DP-252-2016. Access to your account and the usage of your account is subject to your compliance with all the terms and conditions set forth herein, read along with all documents, including but not limited to; applications forms and undertakings, signed by you during account opening. Please read this page carefully and retain it for future reference.

The website is owned, operated and maintained by Arham Share consultants Pvt Ltd. (hereinafter referred to as "ARHAM"),

Please note that the information contained herein is subject to change without notice.

General terms and conditions:

Before availing of online trading services, the Client shall complete the registration process as may be prescribed from time to time. The Client shall follow the instruction given in the website for registering himself as a client.

The Client agrees that all investment and disinvestment decisions are based on the Client's own evaluation of financial circumstances and investment objectives. This extends to any decisions made by the Client on the basis of any information that may be made available on the web site of ARHAM. The Client will not hold nor seek to hold ARHAM or any of its officers, directors, partners, employees, agents, subsidiaries, affiliates or business associates liable for any trading losses, cost of damage incurred by the Client consequent

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upon relying on investment information, research opinions or advice or any other material/information whatsoever on the web site, literature, brochure issued by ARHAM or any other agency appointed/authorised by ARHAM. The Client should seek independent professional advice regarding the suitability of any investment decisions. The Client also acknowledges that employees of ARHAM are not authorized to give any such advice and that the Client will not solicit or rely upon any such advice from ARHAM or any of its employees.

Security precaution and password:

ARHAM will provide the client with a username and a trading password which will enable him to avail of the facilities of Online Trading through the ARHAM's Trading application, over the telephone or in any such other manner as may be permitted by ARHAM for availing of the services. ARHAM may also provide the client with the username and password for accessing its back-office for various reports, etc. All terms regarding the use, reset and modification of such password shall be governed by information on the website.

Our trading terminal system have a stringent Two-Factor authentication (2FA) secure system for every client to enter into their login ID using their Client ID and Password, along with a 6 digit PIN OR Authentication via apps such as Google Authenticator set by the Client himself/herself. This 2FA mechanism is set in place as per SEBI & Exchange guidelines with respect to Internet Based Trading Rules.

ARHAM LIVE 2.0 (or ARHAM trading platform) Password has a 2FA system in place for a secure login experience by each client. After a client enters his/her Client ID, he/she is required to enter his/her (i) Password; and (ii) a 6 digit unique PIN set by the Client him/herself. A link to create Password & 2FA are sent to all clients along with the welcome email while opening an account. This Password and 2FA set by each client, is unique to him/her, and is not known to anybody else apart from the client him/herself. Passwords and 2FA credentials are privileged information, and must be kept in high secrecy by each client and not shared with anybody else.

Any Password can be reset by you at any point of time, by selecting the 'Forgot Password' link under the login area. As soon as you select the 'Forgot

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Password' link, a reset link is sent to your registered email ID, where the same process of setting a unique password is followed.

The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through ARHAM's Online Trading System using the Client's Username and/or Password whether or not such person was authorised to do so.

The Client acknowledges that he is fully aware of and understands the risks associated with availing of online trading services through internet including the risk of misuse and unauthorised use of his Username and/or Password by a third party and the risk of a person hacking into the Client's account on ARHAM's Online Trading System and unauthorisedly routing orders on behalf of the Client through the System. The Client agrees that he shall be fully liable and responsible for any and all unauthorised use and misuse of his Password and/or Username and also for any and all acts done by any person through ARHAM's Online Trading System on the Client's Username in any manner whatsoever.

Without prejudice to the provisions mentioned herein above, the Client shall immediately notify ARHAM in writing with full details if: he discovers or suspects unauthorised access through his Username, Password or Account, he notices discrepancies that might be attributable to unauthorised access, he forgets his password or he discovers a security flaw in ARHAM's Online Trading System.

Orders:

All orders for purchase, sale or other dealings in securities and other instructions routed through the ARHAM's Online Trading System via the Client's Username shall be deemed to have been given by the Client.

The orders and instructions and all contracts and transactions entered into pursuant thereto and the settlement thereof will be in accordance with the Exchange Provisions.



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ARHAM may from time to time impose and vary limits on the orders which the Client can place through ARHAM's online trading System (including exposure limits, turnover limits, limits as to the number, value and/or kind of securities in respect of which orders can be placed, the companies in respect of whose securities orders can be placed, etc.). The Client is aware and agrees that ARHAM may need to vary or reduce the limits or impose new limits urgently on the basis of the ARHAM's risk perception and other factors considered relevant by ARHAM, and ARHAM may be unable to inform the Client of such variation, reduction or imposition in advance. The Client agrees that ARHAM shall not be responsible for such variation, reduction or imposition or the Client's inability to route any order through ARHAM's Online Trading System on account of any such variation, reduction or imposition of limits. The Client understands and agrees that ARHAM may at any time, at its sole discretion and without prior notice, prohibit or restrict the Client's ability to place orders or trade in securities through ARHAM.

Though orders will generally be routed to the Exchange's computer systems within a few seconds from the time the order is placed by the Client on ARHAM's Online Trading System, ARHAM shall not be liable for any delay in the execution of any order or for any resultant loss on account of the delay.

The client agrees ARHAM may impose scripwise surveillance or such other conditions as to scripwise limits, etc. The client also understands that ARHAM may impose various surveillances which may differ from client to client on the basis of the ARHAM's risk perception and other factors considered relevant by ARHAM.

In case of a market order, the Client agrees that he will receive the price at which his order is executed by the exchange's computer system; and such price may be different from the price at which the security is trading when his order is entered into ARHAM's Online Trading System.

Trading, settlement and accounts:

The client agrees that all orders placed through the application shall be forwarded by the system to the Exchange. All orders placed otherwise than through the website shall be forwarded by the system to Exchange terminals or any other order execution mechanism at the discretion of ARHAM In the



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event that the order is placed during the trading hours, it shall be routed to and executed on the market system.

The client is aware that the electronic trading systems either at the Exchange or in the office of ARHAM are vulnerable to temporary disruptions, breakdowns or failures. In the event of non- execution of trade orders (Through any platform or call N trade) or trade cancellation due to the happening of such events or vulnerabilities due to failure / disruption / breakdown of system or link, ARHAM shall be entitled to cancel relative request/(s) with the Client and shall not be liable to execute the desired transactions of the client's. In such event, Arham share consultant Pvt Ltd does not accept responsibility for any losses incurred / that may be incurred by the Client due to such eventualities which are beyond the control of Arham share consultant Pvt Ltd

ARHAM may, at its sole discretion, reject any order placed on the website or in any other manner due to any reason, including but not limited to the non-availability of funds in the trading account of the client, non-availability of securities in the Demat account of the client with a designated depository participant, insufficiency of margin amount if the client opts for margin trading, suspension of scrip- specific trading activities by or on an Exchange and the applicability of circuit breaker to a scrip in which orders are placed.

The client agrees that, if the order is not accepted on the Application or any other defined portal for any reason, ARHAM Shall have the right to treat the order as having lapsed.

ARHAM shall not be responsible for any order, that is made by the Client by mistake and every order that is entered by the Client through application by the use of the user name, password and the security code(s) shall be deemed to be a valid order for which the Client shall be fully responsible.

Cancellation or modification of an order pursuant to the client's request in that behalf is not guaranteed. The order will be cancelled or modified only if the client's request for cancellation and modification is received and the



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order is successfully cancelled or modified before it is executed. Market orders are subject to immediate execution wherever possible.

The client shall not be entitled to presume an order having been executed, cancelled or modified until a confirmation from ARHAM is received by the client. However, due to technical other factors the confirmation may not be immediately transmitted to or received by the client and such a delay shall not entitle the client to presume that the order has not been executed cancelled or modified unless and until ARHAM has so confirmed in writing.

The pending orders shall be governed as per the exchange systems, after the market is closed for the day.

ARHAM shall issue contract notes in terms of the SEBI (Brokers and Sub-Brokers) Rules and Regulations, 1992, within 24 hours of the execution of the trade. Such a contract note, if issued in physical form shall be dispatched by ARHAM by courier, at the address mentioned in this agreement or at any other address expressly informed to ARHAM by the client. The client agrees that ARHAM to issue the contract note in digital form which shall be sent by way of electronic mail to the address provided by the client. ARHAM shall not be responsible for the non-receipt of the trade confirmation due to any change in the correspondence address of the Client not intimated to ARHAM in writing. Client is aware that it is his responsibility to review the trade confirmations, the contract notes, the bills or statements of account immediately upon their receipt. All such confirmations and statements shall be deemed to have been accepted as correct if the client does not object in writing to any of the contents of such trade confirmation/intimation within 24 hours to ARHAM.

ARHAM may allow/disallow client from trading in any security or class of securities, or derivatives contracts and impose such conditions including scrip-wise conditional trading for trading as it may deem fit from time to time.

The orders and instructions and all contracts and transactions entered into pursuant thereto and the settlement thereof will be in accordance with the Exchange Provisions.





ARHAM may from time to time impose and vary limits on the orders which the Client can place through ARHAM's online trading System (including exposure limits, turnover limits, limits as to the number, value and/or kind of securities in respect of which orders can be placed, the companies in respect of whose securities orders can be placed, etc.). The Client is aware and agrees that ARHAM may need to vary or reduce the limits or impose new limits urgently on the basis of the ARHAM's risk perception and other factors considered relevant by ARHAM, and ARHAM may be unable to inform the Client of such variation, reduction or imposition in advance. The Client agrees that ARHAM shall not be responsible for such variation, reduction or imposition or the Client's inability to route any order through ARHAM's Online Trading System on account of any such variation, reduction or imposition of limits. The Client understands and agrees that ARHAM may at any time, at its sole discretion and without prior notice, prohibit or restrict the Client's ability to place orders or trade in securities through ARHAM.

In case of a market order, the Client agrees that he will receive the price at which his order is executed by the exchange's computer system; and such price may be different from the price at which the security is trading when his order is entered into ARHAM's Online Trading System.

The client agrees ARHAM may impose scripwise surveillance or such other conditions as to scripwise limits, etc. The client also understands that ARHAM may impose various surveillances which may differ from client to client on the basis of the ARHAM's risk perception and other factors considered relevant by ARHAM.

The Client is aware and acknowledges that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc., which are susceptible to interruptions and dislocations; and the Online Trading Service of ARHAM may at any time be unavailable without further notice. ARHAM and the Exchange do not make any representation or warranty that the Online Trading Service of ARHAM will be available to the Client at all times without any interruption. The Client



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Member : MCX & ICEX CIN No.: U67120GJ2010PTC061501



agrees that he shall not have any claim against the Exchange or ARHAM on account of any suspension, interruption, non-availability or malfunctioning of the Online Trading System or Service of ARHAM or the Exchange's service or systems for any reason whatsoever.

ARHAM does not warrant that the service will be uninterrupted or error-free. The service is provided in an "as is" and "as available" basis without warranties of any kind, either express or implied, including, without limitation, those of merchantability and fitness for a particular purpose. The client agrees that ARHAM shall not be held responsible for delays in transmission of orders due to breakdown of the system or failure of communication facilities either due to the fault of the systems of ARHAM or of the Exchanges or otherwise or for any other delay beyond the reasonable control of ARHAM due to a breakdown or failure of communication facilities or for any other delay beyond the reasonable control of ARHAM.

ARHAM shall not be responsible for delay or default in the performance of their obligations due to contingencies beyond their control, such as (including but not limited to) losses caused directly or indirectly by exchange or market rulings, suspension of trading, fire, flood, civil commotion, earthquake, war, strikes, failure of the systems, failure of the internet links or government / regulatory action.

Force majeure:

ARHAM shall not be responsible for delay or default in the performance of their obligations due to contingencies beyond their control, such as (including but not limited to) losses caused directly or indirectly by exchange or market rulings, suspension of trading, fire, flood, civil commotion, earthquake, war, strikes, failure of the systems, failure of the internet links or government / regulatory action.



Member: MCX & ICEX CIN No.: U67120GJ2010PTC061501



Severance:

In the event of any one or more of the provisions contained in this Agreement becoming invalid, illegal or unenforceable in any respect under any law for the time being in force, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be prejudiced or affected thereto.

By

Arham Share consultants Pvt Ltd.

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^{*}This is digitally document containing set of rules, terms and condition, doesn't require any signature.